



04-01-00 1636  
Express Mail No.: EL 394 218 078 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Pramod K. Srivastava

Application No.: 09/411,075

Group Art Unit: 1636

Filed: October 4, 1999

Examiner: To be assigned

For: PURIFICATION OF HEAT SHOCK/  
STRESS PROTEIN CELL SURFACE  
RECEPTORS AND THEIR USE AS  
IMMUNOTHERAPEUTIC AGENTS

Attorney Docket No.: 8449-054-999

#4  
J.G.J.  
4/13/00  
APR 11 2000

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.56 AND § 1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

SIR:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 and § 1.97 to inform the Patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicant hereby direct the Examiner's attention to references AA-BT listed on the attached revised form PTO 1449 entitled "List of References Cited by Applicant." Copies of references AA-BT are submitted herewith.

Identification of the listed references is not to be construed an admission of Applicant or Attorneys for Applicant that such references are available as "prior art" against the subject application. Consequently, Applicant respectfully declines to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicant submits herewith several pages of a "revised form PTO 1449" entitled "List of References Cited" instead of "List of Prior Art Cited."

Applicant respectfully requests that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

APR 11 2000

Because this Information Disclosure Statement is being submitted before the mailing date of a first Office Action on the merits, no fee is believed due. However, should the Patent and Trademark Office determine otherwise, please charge any required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date April 6, 2000

Adriane M. Antler 32,605  
Adriane M. Antler (Reg. No.)

**PENNIE & EDMONDS LLP**  
1155 Avenue of the Americas  
New York, New York 10036-2711  
(212) 790-9090

Enclosure